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PTO/SB/05 (11-00)

Please type a plus sign (+) inside this box → ⊕

Approved for use through 10/31/2002 OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 01-9366

First Inventor Tae-Ju KIM

Title Apparatus and Method for Blocking a Link to An Unwholesome Site in Internet

Express Mail Label No. EL 130206536 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 14]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. ☐ Oath or Declaration [Total Pages ]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Declaration and Power of Attorney . . .

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. /

Prior application information

Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name Daniel M. Cislo, Esq. 25189  
PATENT TRADEMARK OFFICE

CISLO & THOMAS, LLP

Address 233 Wilshire Boulevard, Suite 900

City Santa Monica State California Zip Code 90401-1211

Country US Telephone 310-451-0647 Fax 310-394-4477

Name (Print/Type) Daniel M. Cislo Registration No. (Attorney/Agent) 32,973

Signature [Signature] Date 3/8/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$710.00

## Complete if Known

Application Number Filed Herewith  
Filing Date Filed Herewith  
First Named Inventor Tae-Ju KIM  
Examiner Name  
Group / Art Unit  
Attorney Docket No. 01-9366

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-2030

Deposit Account Name Cislo & Thomas, LLP

☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 690                    | 201 345                    | Utility filing fee     | 710.00   |
| 106 310                    | 206 155                    | Design filing fee      |          |
| 107 480                    | 207 240                    | Plant filing fee       |          |
| 108 690                    | 208 345                    | Reissue filing fee     |          |
| 114 150                    | 214 75                     | Provisional filing fee |          |

SUBTOTAL (1) (\$710.00

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 8                  | -20** = 0    | 18             | 0        |
| Independent Claims | 2            | -3** = 0       | 80       |
| Multiple Dependent |              |                | 0        |

\*\*or number previously paid, if greater, For Reissues, see below

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103 18                     | 203 9                      | Claims in excess of 20                                     |
| 102 78                     | 202 39                     | Independent claims in excess of 3                          |
| 104 260                    | 204 130                    | Multiple dependent claim, if not paid                      |
| 109 78                     | 209 39                     | ** Reissue independent claims over original patent         |
| 110 18                     | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$0.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130                    | 205 65                     | Surcharge - late filing fee or oath  | 0.00     |
| 127 50                     | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     | 0.00     |
| 139 130                    | 139 130                    | Non-English specification  | 0.00     |
| 147 2,520                  | 147 2,520                  | For filing a request for reexamination                                     | 0.00     |
| 112 920*                   | 112 920*                   | Requesting publication of SIR prior to Examiner action                     | 0.00     |
| 113 1,840*                 | 113 1,840*                 | Requesting publication of SIR after Examiner action                        | 0.00     |
| 115 110                    | 215 55                     | Extension for reply within first month                                     | 0.00     |
| 116 380                    | 216 190                    | Extension for reply within second month                                    | 0.00     |
| 117 870                    | 217 435                    | Extension for reply within third month                                     | 0.00     |
| 118 1,360                  | 218 680                    | Extension for reply within fourth month                                    | 0.00     |
| 128 1,850                  | 228 925                    | Extension for reply within fifth month                                     | 0.00     |
| 119 300                    | 219 150                    | Notice of Appeal   | 0.00     |
| 120 300                    | 220 150                    | Filing a brief in support of an appeal                                     | 0.00     |
| 121 260                    | 221 130                    | Request for oral hearing   | 0.00     |
| 138 1,510                  | 138 1,510                  | Petition to institute a public use proceeding                              | 0.00     |
| 140 110                    | 240 55                     | Petition to revive - unavoidable   | 0.00     |
| 141 1,210                  | 241 605                    | Petition to revive - unintentional   | 0.00     |
| 142 1,210                  | 242 605                    | Utility issue fee (or reissue)   | 0.00     |
| 143 430                    | 243 215                    | Design issue fee   | 0.00     |
| 144 580                    | 244 290                    | Plant issue fee  | 0.00     |
| 122 130                    | 122 130                    | Petitions to the Commissioner  | 0.00     |
| 123 50                     | 123 50                     | Petitions related to provisional applications                              | 0.00     |
| 126 240                    | 126 240                    | Submission of Information Disclosure Stmt                                  | 0.00     |
| 581 40                     | 581 40                     | Recording each patent assignment per property (times number of properties) | 0.00     |
| 146 690                    | 246 345                    | Filing a submission after final rejection (37 CFR § 1.129(a))              | 0.00     |
| 149 690                    | 249 345                    | For each additional invention to be examined (37 CFR § 1.129(b))           | 0.00     |
| Other fee (specify)        |                            |  | 0.00     |
| Other fee (specify)        |                            |  | 0.00     |

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0.00

## SUBMITTED BY

Name (Print/Type) Daniel M. Cislo

Registration No (Attorney/Agent) 32,973

## Complete (if applicable)

Telephone 1-310-451-0647

Signature

*[Handwritten Signature]*

Date

3-8-01

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re the application of:

**KIM, Tae-Ju**

Serial Number: Filed Herewith

Filed: Filed Herewith

For: **APPARATUS AND METHOD FOR BLOCKING A LINK  
TO AN UNWHOLESOME SITE IN INTERNET**

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Commissioner for Patents  
Washington, D.C. 20231  
BOX PATENT APPLICATION

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

Express Mailing Label No. **EL 130 206 536 US**

Deposited: March 8, 2001

Dear Sir:

Enclosed herewith are the following:

1. Utility Patent Application Transmittal (1 page), with Fee Transmittal (1 page);
2. Check No. 20278 in the amount of \$710.00 for filing fees;
3. Utility Patent Application (1 cover page, 10 specification pages, 3 pages of claims, 1-page abstract, and 4 drawing pages, for a total of 19 pages);
4. Korean Language Declaration and Power of Attorney for Patent Application (3 pages);
5. Request for Use of Customer Number (1 page); and

6. Acknowledgement Postcard.

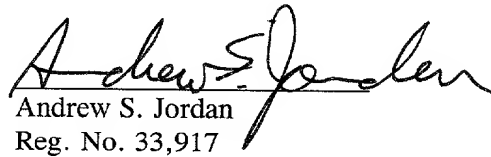
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R., Section 1.10, on the date indicated above and is addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
BOX PATENT APPLICATION

Respectfully submitted,

CISLO & THOMAS LLP

Date: March 8, 2001

  
Andrew S. Jordan  
Reg. No. 33,917

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ASJ/gb

Enclosures

As listed above

Z:\GRACE\9366 KIM ADIA CHUNG LINK BLOCK UTIL EXP MAIL CERT DOC



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of:  
**KIM, Tae-Ju**

Serial Number: Filed Herewith Examiner: Not Yet Assigned

Filed: Filed Herewith Art Unit: Not Yet Assigned

For: **APPARATUS AND METHOD FOR BLOCKING A LINK  
TO AN UNWHOLESOME SITE IN INTERNET**

Commissioner for Patents  
Washington, D.C. 20231  
BOX PATENT APPLICATION

**REQUEST FOR USE OF CUSTOMER NUMBER**

Dear Sir/Madam:

Applicant's representative has the following customer number lodged with the Patent Office:

25,189



25189

PATENT TRADEMARK OFFICE

The Office is respectfully requested to use this customer number (the bar code for which is above) for correspondence purposes as well as for online access via the PAIR system with respect to the present application.

Respectfully submitted,

CISLO & THOMAS LLP

Date: March 2, 2001

Andrew S. Jordan

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